

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Liang et al.

Attorney Docket No.: SUN1P183C1

Application No.: 10/668,124

Examiner: not yet assigned

Filed: September 22, 2003

Group: not yet assigned

Title: TECHNIQUES FOR IMPLEMENTING  
PLUGGABLE VIRTUAL MACHINES

Confirmation No.: not yet assigned

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on Nov. 21, 2003 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

Sally Zumba

**INFORMATION DISCLOSURE STATEMENT  
37 CFR §§1.56 AND 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir/Ms.:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement (copy of European Search Report enclosed).

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is

believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P183C1).

Respectfully submitted,

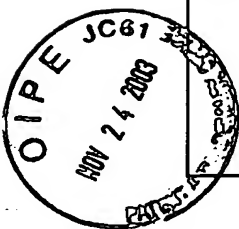
BEYER WEAVER & THOMAS, LLP

A handwritten signature in black ink, appearing to read "Damon K. I. Kali", written over the printed name.

Damon K. I. Kali

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No. <b>SUN1P183C1</b> Applicant: <b>Liang et al.</b> Filing Date <b>September 22, 2003</b>	Application No.: <b>10/668,124</b>  Group not yet assigned
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### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A1	5,339,430	Aug 1994	Lundin et al.			

### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	B1							

### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	C1	Baillaraguet, Carine, "Support D'Applications sur un Système D'Exploitation Adaptatif et Spécialisable", Dea Systemès Informatiques, 1998, pp. i-50. XP-002135234. [ In French – no translation provided]
	C2	Folliot, Bertil et al., "Virtual Virtual Machines", 1997, Dea Systemès Informatiques, pp. 1-4. XP-002135231.
	C3	Lindholm, Tim et al., "The Java™ Virtual Machine Specification", 1997, Addison Wesley, pp. 40-55, 139-149, 151-152, 389-390. XP-002252706.
	C4	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.